

## Comparing Contracts to Grants (high-level comparison)

<b>FACTOR</b>	<b>CONTRACTS</b>	<b>GRANTS</b>
<b>Paperwork</b>	Appropriate funds in year 1 for an up to 3-year contract, with amendments as needed.	Appropriate funds annually, with satisfactory progress as basis for appropriation in subsequent years. Amendments as needed.
<b>Who can apply</b>	State agency	NGO, state agency, private industry
<b>Conflict of Interest</b>	No change	No change
<b>Time required to encumber funds</b>	6–9 mo	1 month
<b>Loss of funding due to delay in other departments?</b>	Quite possible	Highly unlikely
<b>Research time available in 1<sup>st</sup> fiscal year?</b>	1 day (most likely) to several months (only in ideal conditions), depending on contracts processing and other departmental delays.	1 month after signatures, so depends almost entirely on how quickly the committee moves, and not dependent on other departments. Theoretically, could have almost an entire year, although 6–9 months is more likely.
<b>Unknowns?</b>	High	Low

## IF UTILIZING CONTRACTS

Action	2021/22 Timeline	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	2025/26 Proposed	2026/27 Proposed
Released RFP	07/01/2021 (known \$ amount)	07/01/2022 (known \$ amount)	06/01/2023 (anticipated funding)	05/01/2024 (anticipated funding)	04/01/2025 (anticipated funding)	03/01/2026 (anticipated funding)
ICPs due	09/15/2021	08/15/2022	07/15/2023 (known \$ amount)	06/15/2024 (anticipated funding)	05/15/2025 (anticipated funding)	04/15/2026 (anticipated funding)
ICPs reviewed at EMC meeting	10/20/2021	09/15/2022	08/15/2023	07/15/2024 (known \$ amount)	06/15/2025 (anticipated funding)	05/15/2026 (anticipated funding)
FPPs due	12/01/2021	10/15/2022	09/15/2023	08/15/2024	07/15/2025 (known \$ amount)	06/15/2026 (anticipated funding)
FPPs reviewed at EMC meeting and voting	12/14/2021	11/15/2022	10/15/2023	09/15/2024	08/15/2025	07/15/2025 (known \$ amount)
Scope of Work and Contracting Paperwork to BSO*	01/15/2022 <b>PEAK CONTRACT PERIOD</b>	12/15/2022	11/15/2023	10/15/2024	09/15/2025	08/15/2026
Date funds encumbered (estimate)	06/30/2022	05/30/2022	12/15/2023	11/15/2024	10/15/2025	09/15/2026
Time available in first Fiscal Year	1 day (Loss of 364 days of time for funding / work)	1–2 months (Net Loss of 10–11 months)	5–6 months (Net Loss of 6–7 months)	6–7 months (Net Loss of 5–6 months)	7–8 months (Net Loss of 4–5 months)	8–9 months (Net Loss of 3–4 months)

Key: BSO = Business Services Office; FPP = Full Project Proposal; ICP = Initial Concept Proposal; RFP = Request for Proposals.

This proposal alters the timeline for receiving and processing research proposals by the EMC, and:

- 1) Increases the number of months that the ICPs are being accepted before the budget is signed and in effect (and thus, while exact funding amount is not guaranteed, but the estimated amount is known) from **0** months to **1.5** months after **5 years** of pushing the deadline earlier by one month each year. Intended to reduce the potential applicants missing out a deadline due to the historical precedent of the ICPs being due in the fall; and,
- 2) Requests FPPs up to two weeks before the budget is signed; however, FPPs are not voted on until two weeks *after* the budget is signed and exact funding is known; and
- 3) Pushes the timing for EMC contract/grant processing to five months BEFORE peak contract processing, meaning they are more likely to be done in an expedited manner, will receive more undivided attention, and potentially allows researchers more time for planning and executing the proposed research.

## IF UTILIZING GRANTS

Action	2021/22 Timeline	2022/23 Alt 1 - Proposed	2022/23 Alt 1 - Proposed	2023/24 ... 2025/26	2026/27 Proposed (Goal)
Released RFP	07/01/2021 (known \$ amount)	07/01/2022 (known \$ amount)	07/01/2022 (known \$ amount)	...	03/01/2026 (anticipated funding)
ICPs due	09/15/2021	08/15/2022	08/15/2022	...	04/15/2026 (anticipated funding)
ICPs reviewed at EMC late summer/fall meeting	10/20/2021	09/15/2022	09/15/2022	...	05/15/2026 (anticipated funding)
FPPs due	12/01/2021	10/15/2022	10/15/2022	...	06/15/2026 (anticipated funding)
FPPs reviewed at EMC summer meeting, voting	12/14/2021	11/15/2022	11/15/2022	...	07/15/2025 (known \$ amount)
Grant Paperwork submitted	01/15/2022 <b>PEAK CONTRACT PERIOD</b>	12/15/2022	12/15/2022	...	08/15/2026
Date funds encumbered (estimate)	06/30/2022	01/15/2023	01/15/2023	...	09/15/2026
Time available in first Fiscal Year	1 day	4–5 months	4–5 months	...	8–9 months

This proposal alters the timeline for receiving and processing research proposals by the EMC, and:

- 1) Increases the number of months that the ICPs are being accepted before the budget is signed and in effect (and thus, while exact funding amount is not guaranteed, but the estimated amount is known) from 0 months to 1.5 months after 5 years of pushing the deadline earlier by one month each year. Can also just do this faster in upcoming years too. This was intended to reduce the number of potential applicants missing out on a deadline due to the historical precedent of the ICPs being due in the fall; and,
- 2) Requests FPPs up to two weeks before the budget is signed; however, FPPs are not voted on until two weeks *after* the budget is signed and exact funding is known; and
- 3) **Changes from a variable 6-month encumbrance contract process with many unknowns, to a less cumbersome 1-month grant process with many fewer unknowns, and allows researchers up to 9 months longer in the first fiscal year for planning and executing the proposed research.**